

07/20/01
J1003 U.S. PTO

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

010917

Total Pages

First Named Inventor or Application Identifier

Masaki HIRASE and Satoru SHIMADA

Check Box, if applicable [] Duplicate

Express Mail Label No.

APPLICATION ELEMENTS FOR:

SEMICONDUCTOR DEVICE AND METHOD FOR
MANUFACTURING THE SAME

ADDRESS TO: Director of Patents and Trademarks
BOX PATENT APPLICATIONS
Washington, D.C. 20231

[XX] Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)

[XX] Specification Total Pages [19]

[XX] Drawing(s) (35 USC 113) Total Sheets [3]

[XX] Oath or Declaration Total Pages [2]

a. [XX] Newly executed (original)

b. [] Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed).

i. [] Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

[] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

6. [] Microfiche Computer Program (Appendix)

7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

* a. [] Computer Readable Copy

* b. [] Paper Copy (identical to computer copy)

* c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. [XX] Assignment Papers (cover sheet and document(s)) to: SANYO ELECTRIC CO., LTD., Osaka, Japan

9. [] 37 CFR 3.73(b) Statement (when there is an assignee)

[XX] Power of Attorney

J1000 U.S. PTO
09/908941
07/20/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

010917

First Named Inventor or Application Identifier

Masaki HIRASE and Satoru SHIMADA

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement

☒ Copies of IDS Citation (PTO-1449 w/ 1 ref. enclosed)

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority

☒ Certified copy of Priority Document is enclosed.

a. Priority of Japanese application no. 2000-223125 filed on July 24, 2000 is claimed under 35 USC 119.

16. ☐ Other _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

FEE TRANSMITTAL

Number Filed

Number Extra

Rate

Basic . .
Fee

The filing fee is calculated below

\$710.00

Total Claims

8 - 20

x \$18.00

Independent Claims

3 - 3

x \$80.00

Multiple Dependent Claims

\$270.00

Basic Filing Fee

710.00

Reduction by 1/2 for small entity

Fee for recording enclosed Assignment

\$40.00

40.00

TOTAL

750.00

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

010917

First Named Inventor or Application Identifier

Masaki HIRASE and Satoru SHIMADA

PAGE 3 OF 3

☒ [XX] A check in the amount of \$750.00 is enclosed to cover the filing fee of \$710.00 and the assignment recordation fee of \$40.00.

☐ [] Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

8. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name

Donald W. Hanson

Reg. No. 27,133

Signature

Date: July 20, 2001